

BEFORE THE
STATE OF FLORIDA
COMMISSION ON ETHICS

In re NICHOLAS RIVERO,)	Financial Disclosure Appeal No. FD 22-012
)	
Appellant.)	Final Order No.
<hr style="width: 60%; margin-left: 0;"/>)	

FINAL ORDER

This matter came before the Commission on Ethics, on its own motion, meeting in public session on April 19, 2024, in the matter of Nicholas Rivero, pursuant to Section 112.3145(8)(g), Florida Statutes, which assesses an automatic fine of \$25 per day on a person who fails to timely file a required CE Form 1, Statement of Financial Interests. The Commission may waive the fine in whole or in part for good cause shown, based on "unusual circumstances" surrounding the failure to file by the designated date. There are no matters in dispute. Appellant did not request a hearing before the Commission.

Findings of Fact

1. According to information provided to the Commission, Appellant served as an Assistant Regional Counsel with the Criminal Conflict & Civil Regional Counsel, Fourth District, a position that requires the filing of a CE Form 1, Statement of Financial Interests, for the year 2021. The designated due date for submitting a 2021 CE Form 1 annual filing was July 1, 2022, with a grace period ending on September 1, 2022.

2. On May 17, 2022, Commission on Ethics sent Appellant a 2021 CE Form 1. This mailing was sent to Appellant at 600 S. Andrews Avenue, Suite 600, Fort Lauderdale, FL 33301-2835. It is unknown if the Appellant received this mailing.

3. On July 29, 2022, Commission staff sent Appellant a Notice of Delinquency by certified mail. The notice was sent to Appellant at the 600 S. Andrews Avenue address. Postal records indicate it was delivered to the mailroom of the Association Law Group on August 5, 2022, to the Association Law Group and was signed for by an unknown person.

4. On August 12, 2022, the Justice Administration Commission notified Commission staff that Appellant was no longer with the agency and provided Appellant's phone number and email address, which they had gotten from the Florida Bar site.

5. On August 18, 2022, Commission staff mailed Appellant a courtesy notice informing him that his 2021 CE Form 1 had not been received and that he was being fined \$25 per day, up to a maximum of \$1,500. The Commission mailed this notice to the 600 S. Andrews Avenue address.

6. On September 1, 2022, Commission staff sent Appellant an email notifying him that the Commission had not received his 2021 CE Form 1 and that the grace period for filing the form would expire at 5:00 p.m. that day. The email also stated that a fine of \$25 per day, up to a maximum fine of \$1,500, would be assessed against him and that failure to file the form could result in removal from public office or employment. The email was sent to Appellant at nicholas.rivero@csklegal.com.

7. On September 7, 2022, the Commission received a notice from the U.S. Postal Service stating that it had attempted delivery of the certified mailing (apparently, the mailing from July 29, 2022) but that the address of Appellant was unknown.

8. On September 13, 2022, twelve days after the grace period expired, the Commission mailed Appellant a courtesy notice informing him that his 2021 CE Form 1 had not been received and that he was being fined \$25 per day, up to a maximum of \$1,500. The

Commission mailed this notice to the 600 S. Andrews Avenue address. It is unknown if Appellant received this mailing.

9. On December 8, 2022, the following occurred. Commission staff sent Appellant an email asking him if he had served as an Assistant Regional Counsel for the Fourth District. The email was sent to Appellant at nrivero@safepointins.com. When Appellant replied, Commission staff sent him another email informing him that he had been required to file a 2021 CE Form 1 by July 1, 2022, and that failure to do so had resulted in a \$1,500 fine's being imposed. Attached to the email was a 2021 CE Form 1. Appellant called the Commission and stated that he had no idea about his filing obligation and said he had not received any notices. The Commission received Appellant's 2021 CE Form 1 and his Appeal of Automatic Fine for Form Year 2021 that day (December 8, 2022).

10. On November 2, 2023, the Commission sent a Notice of Assessment of Automatic Fine by certified mail to Appellant at 14415 Mahogany Court, Miami Lakes, FL 33014, the address Appellant had listed on his appeal form. This notice indicated that Appellant had accrued a \$1,500 fine.

11. In Appellant's appeal, he stated that he had not received the notice of delinquency that had been sent to him by certified mail and that he had changed employment to the private sector in March 2022. He added that his previous employer never contacted him about the notice.

Conclusions of Law

12. Financial disclosure is required of public officials and employees because it enables the public to evaluate potential conflicts of interest, deters corruption, and increases public confidence in government.

13. Section 112.3145(8)(g)3., Florida Statutes, states:

Any reporting person may appeal or dispute a fine, based upon unusual circumstances surrounding the failure to file on the designated due date, and may request and is entitled to a hearing before the commission, which may waive the fine in whole or in part for good cause shown. Any such request must be in writing and received by the commission within 30 days after the notice of payment due is transmitted. In such a case, the reporting person must, within the 30-day period, notify the person designated to review the timeliness of reports in writing of his or her intention to bring the matter before the commission. For purposes of this subparagraph, the term "unusual circumstances" does not include the failure to monitor an e-mail account or failure to receive notice if the person has not notified the commission of a change in his or her e-mail address.

14. Commission Rule 34-8.215, F.A.C., defines "unusual circumstances" as follows:

uncommon, rare or sudden events over which the reporting individual had no control and which directly result in the failure to act in accordance with the filing requirements. Circumstances which allow for time in which to take those steps necessary to assure compliance with the filing requirements shall be deemed not to constitute unusual circumstances.

15. Appellant's appeal is accepted as timely. When Appellant contacted the Commission on December 8, 2022, he stated that he had never received notices about his filing obligation. He states that he left his place of employment in March 2022, which was before any notices of his filing obligation were sent to him. The notices were sent to his employer's address, as well, so he was no longer there. Commission and postal records indicate that the first notice Appellant received of his filing obligation was on December 8, 2022, at which time Appellant stated that he had no idea about his filing obligation. That same day, Appellant filed his Appeal of Automatic Fine for Form Year 2021 and his 2021 CE Form 1 with the Commission. Since Appellant filed his appeal the day he found out about his filing obligation and the fine that had accrued, Appellant's appeal is considered timely.

16. Turning to the merits of the appeal, Appellant denies having received any notices of his filing obligation prior to December 8, 2022, which was after the \$1,500 fine had accrued.

He states that he left his employer in March 2022, where the notices were subsequently mailed, and that his previous employer never notified him that he had received any notices. Postal records also reflect that the notice mailed to Appellant's previous employer on July 29, 2022, was returned to the Commission as undeliverable on September 7, 2022. There is no evidence to dispute Appellant's claims. The Commission has found lack of notice to constitute "unusual circumstances," which would justify waiving a fine.

17. Due to the information provided to the Commission, including postal records, we find Appellant's reason for appealing to constitute "unusual circumstances" that justify waiving the \$1,500 fine.

Order

Based on the foregoing facts and conclusions of law, the Commission hereby waives the assessed fine of \$1,500.

ORDERED by the State of Florida Commission on Ethics meeting in public session on Friday, April 19, 2024.

Date Rendered

Ashley Lukis
Chair, Florida Commission on Ethics

THIS ORDER CONSTITUTES FINAL AGENCY ACTION. ANY PARTY WHO IS ADVERSELY AFFECTED BY THIS ORDER HAS THE RIGHT TO SEEK JUDICIAL REVIEW UNDER SECTION 120.68, AND SECTION 112.3241, FLORIDA STATUTES, BY FILING A NOTICE OF ADMINISTRATIVE APPEAL PURSUANT TO RULE 9.110 FLORIDA RULES OF APPELLATE PROCEDURE, WITH THE CLERK OF THE COMMISSION ON ETHICS, AT EITHER 325 JOHN KNOX ROAD, BUILDING E, SUITE 200, TALLAHASSEE, FLORIDA 32303 OR P.O. DRAWER 15709, TALLAHASSEE, FLORIDA 32317-5709; AND BY FILING A COPY OF THE NOTICE OF APPEAL

ATTACHED TO WHICH IS A CONFORMED COPY OF THE ORDER DESIGNATED IN THE NOTICE OF APPEAL ACCOMPANIED BY THE APPLICABLE FILING FEES WITH THE APPROPRIATE DISTRICT COURT OF APPEAL. THE NOTICE OF ADMINISTRATIVE APPEAL MUST BE FILED WITHIN 30 DAYS OF THE DATE THIS ORDER IS RENDERED.

AL:kbh

Copy furnished to:

Mr. Nicholas Rivero
14415 Mahogany Court
Miami Lakes, FL 33014

22-012

288380



STATE OF FLORIDA
COMMISSION ON ETHICS

325 John Knox Road
Building E, Suite 200
Tallahassee, FL 32303
Telephone: (850) 488-7864
Fax: (850) 488-3077
Email: disclosure@leg.state.fl.us

FLORIDA
COMMISSION ON ETHICS

DEC 08 2022

RECEIVED

APPEAL OF AUTOMATIC FINE FOR FORM YEAR 2021

DIRECTIONS: The information you provide in this form is critical for processing your appeal in a timely manner.

In Part A, please provide current contact information. If your contact information changes while your appeal is being processed, please notify us.

In Part B, please check any boxes that specify the general reason(s) for your appeal.

In Part C, please explain in detail the reason(s) for your appeal. In addition to your written explanation in Part C, you may attach any documents that support your appeal.

IMPORTANT: TO PRESERVE YOUR RIGHT TO APPEAL, THIS FORM OR OTHER WRITTEN APPEAL (AND ANY ATTACHMENTS) MUST BE FILED WITH (RECEIVED BY) THE COMMISSION ON ETHICS WITHIN THIRTY (30) DAYS OF THE DATE THE NOTICE OF ASSESSMENT OF AUTOMATIC FINE WAS MAILED TO YOU.

PLEASE SEND YOUR COMPLETED FORM TO ONE OF THE FOLLOWING:

Mailing Address: Commission on Ethics
P.O. Drawer 15709
Tallahassee, FL 32317-5709

Physical Address: Commission on Ethics
325 John Knox Road
Building E, Suite 200
Tallahassee, FL 32303

Fax: (850) 488-3077

Email: disclosure@leg.state.fl.us

PART A: YOUR INFORMATION

Name: Nicholas Alexander Rivero

Address: 14415 Mahogany CT City: Miami Lakes State: FL Zip: 33014

Daytime Tel.: (786) 351-5883 Cell: (786) 351-5883

Email: NickRiveroLaw@gmail.com Filer ID# (if known): _____

Public Employer: Criminal Conflict & Civil Regional Counsel, 4th District

Public Position: Assistant Regional Counsel

CONTINUED ON REVERSE SIDE

PART B: GENERAL REASON(S) FOR YOUR APPEAL

Please choose any/all reasons that apply to your appeal.

I hereby appeal the Notice of Assessment of Automatic Fine on the following basis:

- a. **Sickness or injury** (Explain in Part C and attach a statement from attending physician, including dates and nature of illness or injury)
- b. **Lack of notification – Failure to receive notice** (Explain in Part C and provide documentation that supports your assertion that you never received certified mail delinquency notice: for example, incorrect address; misdelivered mail; change in employment; extended absence from home, etc.)
- c. **Claim of timely filing of financial disclosure** (Explain in Part C and provide copy of certified mail receipt and/or copy of completed form which had been previously filed, along with a sworn notarized statement that you filed prior to the deadline)
- d. **Left public position prior to December 31, 2021** (Explain in Part C and provide confirmation from agency that your office-holding/employment ended before 12/31/2021)
- e. **Other unusual circumstance** (Explain in Part C and provide documentation explaining uncommon, rare, or sudden occurrence that prevented timely filing prior to deadline)
- f. **Not required to file** (Explain in Part C and provide documentation that supports reason for not required to file)

PART C: DETAILED EXPLANATION OF YOUR APPEAL

Please provide a detailed explanation of your appeal, including why each option you selected in Part B is applicable to you. You may use the space provided and/or attach additional pages.

I did not receive certified mail delinquency notice at my home address, and I changed employment to private sector in March 2022 and was never contacted by my prior employer or received notice while there.

OPTIONAL REQUEST FOR HEARING

In addition to this written appeal, I specifically request to appear before the Commission in a hearing pursuant to Section 112.3144(8)(f)3 or Section 112.3145(8)(g)3, Florida Statutes. Commission meetings occur in Tallahassee.

SIGNATURE

I have received and read the Notice of Assessment of Automatic Fine and its instructions on How to Appeal and I understand my options. I am requesting disposition of this matter as indicated.

12/8/2022
DATE

Nicholas Rivero
SIGNATURE

**BEFORE THE
STATE OF FLORIDA
COMMISSION ON ETHICS**

In re **Nicholas Alexander Rivero**
Assistant Regional Counsel
Employees
Criminal Conflict & Civil Regional Counsel, 4th District

PID#: 288380

NOTICE OF ASSESSMENT OF AUTOMATIC FINE

The Commission on Ethics hereby gives notice of an assessment of a fine against you pursuant to Section 112.3145(8)(g), Florida Statutes, due to your failure to timely file your 2021 CE Form 1, Statement Of Financial Interests. Under the law, your 2021 CE Form 1, Statement of Financial Interests, was due by July 1, 2022. The law provided for a penalty-free grace period extending the due date to September 1, 2022. After that date, you accrued fines of \$25.00 per day for each day your financial disclosure was late, pursuant to Section 112.3145(8)(g), Florida Statutes.

Inasmuch as your 2021 CE Form 1 was filed December 8, 2022 with the Commission on Ethics, you are fined the amount of \$1500.00 (\$25.00 per day for 98 day(s) late). This fine must be paid to the Commission on Ethics within 30 days of the date of this notice unless you appeal the fine to the Commission. The Commission has the authority to consider the appeal and waive the fine in whole or in part if your failure to file on time was due to "unusual circumstances" surrounding the failure to file. Unless the fine is successfully appealed, the Commission is required to investigate public officers and employees who receive the maximum \$1,500 fine, to determine whether their failure to file was willful. The penalty for willfully failing to file disclosure is removal from public office or employment.

HOW TO APPEAL

1. Read these instructions carefully before submitting your appeal.
2. **LEGAL AUTHORITY:** Appeals are governed by Section 112.3145(8)(g)3., Florida Statutes, and Commission Rule 34-8.215, Florida Administrative Code.
3. **FORMAT:** Your appeal must be in writing and mailed to Florida Commission on Ethics, P. O. Drawer 15709, Tallahassee, FL 32317-5709, or delivered to Florida Commission on Ethics, 325 John Knox Road, Building E, Suite 200, Tallahassee, FL 32303. The appeal may take the form of a letter or you may use the appeal form included in this mailing. The appeal form also is available at the Commission's website: www.ethics.state.fl.us. Click on "Financial Disclosure" and then the link to the sample appeal form.
4. **DUE DATE:** Your appeal must be received by the Commission on Ethics on or before **December 4, 2023**. **NOTE:** Failure to timely file an appeal will constitute a waiver of your right to appeal and will result in the entry of a default order against you.
5. **UNUSUAL CIRCUMSTANCES:** An appeal must demonstrate that you submitted your CE Form 1 after the extended due date because of "unusual circumstances." "Unusual circumstances" is defined in Commission Rule 34-8.215(4), Florida Administrative Code, as "uncommon, rare, or sudden events over which the reporting individual had no control and which directly result in the failure to act in accordance with the filing requirements." Therefore, circumstances that allowed for time to take steps necessary to file on time do not constitute "unusual circumstances" that will allow the Commission to waive the fine. You have the burden to establish "unusual circumstances." Your appeal must specifically state the circumstances that led to your not filing by September 1, 2022, and must include any documentation or evidence supporting your appeal, such as:
 - a. **SICKNESS/INJURY:** a statement from attending physician, including dates and nature of the illness or injury;
 - b. **LACK OF NOTICE (WRONG ADDRESS):** documentation that you did not reside at the address to which notice was sent;

- c. **LACK OF NOTICE (ABSENCE FROM HOME):** documentation establishing the period of time of your absence covering the notification period;
- d. **CLAIM OF TIMELY FILING OF FINANCIAL DISCLOSURE:** (1) an affidavit from you attesting under oath or affirmation that you filed your financial disclosure and your recollection of when and how you filed and (2) a copy of a certified mail receipt and/or a copy of the completed form which was filed. If you have witnesses to your filing, we also will need an affidavit from each witness. NOTE: A claim of having filed the CE Form 1F for the current year does not satisfy the CE Form 1 filing requirement or excuse a late filing;
- e. **LEFT PUBLIC POSITION BEFORE DECEMBER 31, 2021:** confirmation of your last date of office or employment by your former agency, showing the last date to be before December 31, 2021; or
- f. **UNCLAIMED CERTIFIED MAIL:** if delinquency notice was addressed correctly but not received, you must explain why.
6. **YOUR RIGHT TO A HEARING:** You have the right to have your appeal heard by the Commission and to appear before the Commission at the hearing, but, to exercise this right, you must specifically request a hearing in your appeal. If you do not request a hearing, you will waive your right to a hearing, the Commission will determine the outcome of your appeal based upon the written record (including the documentation you provide and any documentation in your case file), and you will receive no further notice until after the Commission decides your appeal.

FAILURE TO PAY FINE OR FILE APPEAL WITHIN 30 DAYS

If you do not timely file an appeal or pay the assessed fine within 30 days of this Notice, a default order will be entered against you and the Commission will take the steps provided by law to collect the fine, including:

- Referral to the CFO of the Department of Financial Services, if you are a salaried state officer or employee, for withholding of a portion of your salary until the fine is satisfied; or
- Referral to your agency's governing body for withholding of a portion of your salary until the fine is satisfied;
- Referral to a collection agency, which can seek garnishment of your wages; and/or
- An additional civil penalty, not limited by this automatic fine, may be imposed if your disclosure statement is filed more than 60 days late and a complaint is filed against you pursuant to Section 112.324, Florida Statutes.

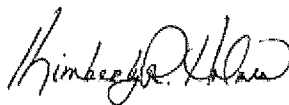
Please contact our office if you have any questions about this matter.

CERTIFICATE OF MAILING

I certify that a copy of the foregoing Notice of Assessment of Automatic Fine was furnished to:

Nicholas Alexander Rivero
14415 Mahogany Court
Miami Lakes, FL 33014

by Certified Mail on this Thursday, November 2, 2023.



KIMBERLY R. HOLMES
Program Administrator

Florida Commission on Ethics
P. O. Drawer 15709
Tallahassee, FL 32317-5709

-or-

Florida Commission on Ethics
325 John Knox Road, Building E, Ste. 200
Tallahassee, FL 32303

Tel.: (850) 488-7864

Fax: (850) 488-3077

Email: disclosure@leg.state.fl.us

FORM 1

STATEMENT OF FINANCIAL INTERESTS

2021

Please print or type your name, mailing address, agency name, and position below:

FOR OFFICE USE ONLY:

LAST NAME -- FIRST NAME -- MIDDLE NAME :

Rivero, Nicholas, Alexander

MAILING ADDRESS :

14415 Mahogany CT

CITY :

Miami Lakes

ZIP :

33014

COUNTY :

Miami-Dade

NAME OF AGENCY :

Criminal Conflict & Civil Regional Counsel

NAME OF OFFICE OR POSITION HELD OR SOUGHT :

Assistant Regional Counsel

CHECK ONLY IF [] CANDIDATE OR [] NEW EMPLOYEE OR APPOINTEE

Processed 12-8-22

288380

FILE COPY

**** THIS SECTION MUST BE COMPLETED ****

DISCLOSURE PERIOD:

THIS STATEMENT REFLECTS YOUR FINANCIAL INTERESTS FOR CALENDAR YEAR ENDING DECEMBER 31, 2021.

MANNER OF CALCULATING REPORTABLE INTERESTS:

FILERS HAVE THE OPTION OF USING REPORTING THRESHOLDS THAT ARE ABSOLUTE DOLLAR VALUES, WHICH REQUIRES FEWER CALCULATIONS, OR USING COMPARATIVE THRESHOLDS, WHICH ARE USUALLY BASED ON PERCENTAGE VALUES (see instructions for further details). CHECK THE ONE YOU ARE USING (must check one):

[]

COMPARATIVE (PERCENTAGE) THRESHOLDS

OR

[x]

DOLLAR VALUE THRESHOLDS

PART A -- PRIMARY SOURCES OF INCOME [Major sources of income to the reporting person - See instructions] (If you have nothing to report, write "none" or "n/a")

Table with 3 columns: NAME OF SOURCE OF INCOME, SOURCE'S ADDRESS, DESCRIPTION OF THE SOURCE'S PRINCIPAL BUSINESS ACTIVITY. Row 1: None

PART B -- SECONDARY SOURCES OF INCOME [Major customers, clients, and other sources of income to businesses owned by the reporting person - See instructions] (If you have nothing to report, write "none" or "n/a")

Table with 4 columns: NAME OF BUSINESS ENTITY, NAME OF MAJOR SOURCES OF BUSINESS' INCOME, ADDRESS OF SOURCE, PRINCIPAL BUSINESS ACTIVITY OF SOURCE. Row 1: None

PART C -- REAL PROPERTY [Land, buildings owned by the reporting person - See instructions] (If you have nothing to report, write "none" or "n/a")

Table with 1 column: REAL PROPERTY. Row 1: None

You are not limited to the space on the lines on this form. Attach additional sheets, if necessary.

FILING INSTRUCTIONS for when and where to file this form are located at the bottom of page 2.

INSTRUCTIONS on who must file this form and how to fill it out begin on page 3.

PART D — INTANGIBLE PERSONAL PROPERTY [Stocks, bonds, certificates of deposit, etc. - See instructions] (If you have nothing to report, write "none" or "n/a")	
TYPE OF INTANGIBLE	BUSINESS ENTITY TO WHICH THE PROPERTY RELATES
None	

PART E — LIABILITIES [Major debts - See instructions] (If you have nothing to report, write "none" or "n/a")	
NAME OF CREDITOR	ADDRESS OF CREDITOR
Chase Auto	P.O. Box 901076 Fort Worth, TX 76101-2076
Aidvantage	P.O. Box 300001 Greenville, TX 75403-3001

PART F — INTERESTS IN SPECIFIED BUSINESSES [Ownership or positions in certain types of businesses - See instructions] (If you have nothing to report, write "none" or "n/a")		
	BUSINESS ENTITY # 1	BUSINESS ENTITY # 2
NAME OF BUSINESS ENTITY	None	
ADDRESS OF BUSINESS ENTITY		
PRINCIPAL BUSINESS ACTIVITY		
POSITION HELD WITH ENTITY		
I OWN MORE THAN A 5% INTEREST IN THE BUSINESS		
NATURE OF MY OWNERSHIP INTEREST		

PART G — TRAINING For elected municipal officers, appointed school superintendents, and commissioners of a community redevelopment agency created under Part III, Chapter 163 required to complete annual ethics training pursuant to section 112.3142, F.S.

I CERTIFY THAT I HAVE COMPLETED THE REQUIRED TRAINING.

IF ANY OF PARTS A THROUGH G ARE CONTINUED ON A SEPARATE SHEET, PLEASE CHECK HERE

SIGNATURE OF FILER:

Signature:

Nicholas Rivero

Date Signed:

12/8/2022

CPA or ATTORNEY SIGNATURE ONLY

If a certified public accountant licensed under Chapter 473, or attorney in good standing with the Florida Bar prepared this form for you, he or she must complete the following statement:

I, _____, prepared the CE Form 1 in accordance with Section 112.3145, Florida Statutes, and the instructions to the form. Upon my reasonable knowledge and belief, the disclosure herein is true and correct.

CPA/Attorney Signature: _____

Date Signed: _____

FILING INSTRUCTIONS:

If you were mailed the form by the Commission on Ethics or a County Supervisor of Elections for your annual disclosure filing, return the form to that location. To determine what category your position falls under, see page 3 of instructions.

Local officers/employees file with the Supervisor of Elections of the county in which they permanently reside. (If you do not permanently reside in Florida, file with the Supervisor of the county where your agency has its headquarters.) Form 1 filers who file with the Supervisor of Elections may file by mail or email. Contact your Supervisor of Elections for the mailing address or email address to use. Do not email your form to the Commission on Ethics, it will be returned.

State officers or specified state employees who file with the Commission on Ethics may file by mail or email. To file by mail, send the completed form to P.O. Drawer 15709, Tallahassee, FL 32317-5709; physical address: 325 John Knox Rd, Bldg E, Ste 200, Tallahassee, FL 32303. To file with the Commission by email, scan your completed form and any attachments as a pdf (do not use any other format), send it to CEForm1@leg.state.fl.us and retain a copy for your records. Do not file by both mail and email. Choose only one filing method. Form 6s will not be accepted via email.

Candidates file this form together with their filing papers.

MULTIPLE FILING UNNECESSARY: A candidate who files a Form 1 with a qualifying officer is not required to file with the Commission or Supervisor of Elections.

WHEN TO FILE: Initially, each local officer/employee, state officer, and specified state employee must file **within 30 days** of the date of his or her appointment or of the beginning of employment. Appointees who must be confirmed by the Senate must file prior to confirmation, even if that is less than 30 days from the date of their appointment.

Candidates must file at the same time they file their qualifying papers.

Thereafter, file by July 1 following each calendar year in which they hold their positions.

Finally, file a final disclosure form (Form 1F) within 60 days of leaving office or employment. Filing a CE Form 1F (Final Statement of Financial Interests) does not relieve the filer of filing a CE Form 1 if the filer was in his or her position on December 31, 2021.

Mail Piece Details

 [Print this page](#)

Recipient Address

NICHOLAS ALEXANDER RIVERO
600 S ANDREWS AVE STE 600
FT LAUDERDALE, FL 33301-2835

Record / Case Number:
288380

Return Address

STATE OF FLORIDA
COMMISSION ON ETHICS
PO DRAWER 15709
TALLAHASSEE, FL 32317-5709

Entry Point ZIP:
32317

Mail Piece Information

Tracking Number: 92148901066154000177755365

Date Created: 07/29/2022 09:26:11 AM

Mail Class: USPS First Class Mail

Special Services: Certified Mail
Return Receipt Electronic

Memo: 2021

Created By: Kimberly Holmes - Commission on Ethics

Signature Information

Signed For By: R CAMPBELL
Signature Status: Available ([Click Here](#))

*Having issues viewing the signature file?
Make sure you are using the latest version of Adobe Acrobat Reader*

Tracking Information

Pre-Shipment Info Sent To Usps, Usps Awaiting Item, July 29, 2022, 12:00:00 AM
Pre-Shipment Info Sent Usps Awaits Item, July 29, 2022, 08:51:00 AM, TALLAHASSEE,FL 32317
Origin Acceptance, July 29, 2022, 09:48:00 PM, TALLAHASSEE,FL 32317
Processed Through Usps Facility, July 29, 2022, 11:03:00 PM, TALLAHASSEE FL DISTRIBUTION CEN 32301
Departed Usps Regional Facility, July 29, 2022, 11:24:00 PM, TALLAHASSEE FL DISTRIBUTION CEN 32301
In Transit, Arriving On Time, July 30, 2022, 12:00:00 AM
Processed Through Usps Facility, July 31, 2022, 07:47:00 AM, MIAMI FL DISTRIBUTION CENTER 33152
Departed Usps Regional Destination Facility, July 31, 2022, 01:26:00 PM
Departed Usps Regional Destination Facility, July 31, 2022, 06:20:00 PM
No Access To Divry Location, August 01, 2022, 10:47:00 AM, FORT LAUDERDALE,FL 33301
No Authorized Recipient Available, August 03, 2022, 04:52:00 PM, FORT LAUDERDALE,FL 33301
No Authorized Recipient Available, August 04, 2022, 12:14:00 PM, FORT LAUDERDALE,FL 33301
Delivered Front Desk/Reception/Mail Room, August 05, 2022, 12:22:00 PM, FORT LAUDERDALE,FL 33301
Processed Through Usps Facility, August 25, 2022, 10:21:00 PM, MIAMI FL DISTRIBUTION CENTER 33152
Processed Through Usps Facility, August 28, 2022, 06:25:00 AM, TALLAHASSEE FL DISTRIBUTION CEN 32301
Processed Through Usps Facility, August 28, 2022, 11:59:00 AM, TALLAHASSEE FL DISTRIBUTION CEN 32301
Delivered Individual Picked Up At Usps, August 30, 2022, 10:51:00 AM, TALLAHASSEE,FL 32308

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For the latest version of Adobe® Reader® click here.



August 30, 2022

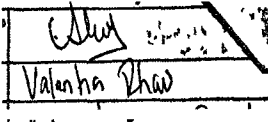
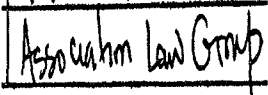
Dear MAIL MAIL:

The following is in response to your request for proof of delivery on your item with the tracking number:
9214 8901 0661 5400 0177 7553 65.

Item Details

Status: Delivered, Front Desk/Reception/Mail Room
Status Date / Time: August 5, 2022, 12:22 pm
Location: FORT LAUDERDALE, FL 33301
Postal Product: First-Class Mail®
Extra Services: Certified Mail™
Return Receipt Electronic
Recipient Name: NICHOLAS ALEXANDER RIVERO

Recipient Signature

Signature of Recipient:	
Address of Recipient:	

Note: Scanned image may reflect a different destination address due to Intended Recipient's delivery instructions on file.

Thank you for selecting the United States Postal Service® for your mailing needs. If you require additional assistance, please contact your local Post Office™ or a Postal representative at 1-800-222-1811.

Sincerely,
United States Postal Service®
475 L'Enfant Plaza SW
Washington, D.C. 20260-0004

The customer reference information shown below is not validated or endorsed by the United States Postal Service. It is solely for customer use.

Reference ID: 92148901066154000177755365
288380
NICHOLAS ALEXANDER RIVERO
600 S Andrews Ave Ste 600
Ft Lauderdale, FL 33301-2835
2021



Financial Disclosure Management System
THE FLORIDA COMMISSION ON ETHICS

Filer - Fines and Appeals - PID 288380 - Nicholas Alexander Rivero

Filer Information

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Forms

Communications

Fines and Appeals >

View All

Filer Flags

- [2000](#) [2001](#) [2002](#) [2003](#) [2004](#)
- [2005](#) [2006](#) [2007](#) [2008](#) [2009](#)
- [2010](#) [2011](#) [2012](#) [2013](#) [2014](#)
- [2015](#) [2016](#) [2017](#) [2018](#) [2019](#)
- [2020](#) [2021\(S\)](#) [2022](#)

<<2022 Form Year

Status

Filing: INACTIVE

Fine: No Fine

Flags

Public Address

Filing Extensions

Indefinite: None

Temporary:

None

Eligible for Fines

Update Flags

The filer has fines for: [2022 \(Appeal\)](#)

2022 Fines and Appeals

Form Year 2021 Filed Forms						
Received Date	Form Type	Form Signed	Filed by Email	Filing Location	Updated	Comments
12/08/22	Form 1	Yes	Yes	COE	PRINEE on 12/08/2022	

2022 Fine Information				<input type="button" value="Update Fine Information"/> <input type="button" value="Assign Agency Contact"/>			
Fine Balance	Fine Status	Fine Date	Original Assessment	Fine Amount	Last Payment Date	Payment Plan Start Date	Payment Plan Amount
\$1,500.00	Appeal	11/2/2023	\$1,500.00	\$1,500.00			
Fine Address 14415 Mahogany Court Miami Lakes FL 33014 Org/Suborg Criminal Conflict & Civil Regional Counsel, 4th District-Employees							


2022 Fine Payment History					
Date Posted	Description	Amount	Method	Payment ID	Comments
11/2/2023	Fine Levied	+ \$1,500.00			Fined \$1500.00
Current Balance: \$1,500.00					

2022 Fine Year Event
Chronology

 **Add a New Filer**

 **Jump To A Filer**

PID:

 **Quick Filer Search**

First Name:

Last Name:

<input checked="" type="checkbox"/> Date	Type	Description	Reference
<input type="radio"/> 05/17/2022	Letter Sent	Form 1 Official List - Form 1 Official Filers List	Print Queue: 5/17/2022 1:36 PM Printing Confirmed: 5/17/2022 1:36 PM

Letter Sent To:
Nicholas Alexander Rivero
600 S ANDREWS AVE
STE 600
FT LAUDERDALE, FL 33301 -2835

<input type="radio"/> 07/29/2022	Letter Sent	Certified Letter Sent	Print Queue: 7/29/2022 Printing Confirmed: 7/29/2022
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Letter Sent To:
Nicholas Alexander Rivero
600 S ANDREWS AVE
STE 600
FT LAUDERDALE, FL 33301 -2835

08/12/2022 Filer From: Gina Gillette Sent: Keyana Green
Communication: Friday, August 12, 2022
Email 12:34 PM To: Prine.Emily
Good afternoon Emily The
following employees are no
longer with the agency:
Nicholas Rivero 954-473-1112
nicholas.rivero@csklegal.com
Info taken from the Florida
Bar site

<input type="radio"/> 08/18/2022	Postcard Sent	Courtesy Postcard Reminder	Print Queue: 8/18/2022 Printing Confirmed: 8/18/2022
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Letter Sent To:
Nicholas Alexander Rivero
600 S ANDREWS AVE
STE 600

FT LAUDERDALE, FL 33301 -2835

09/1/2022 Filer Good morning, Mr. Rivero: Millie Fulford
 Communication: Earlier this year, you were
 Email notified of your obligation to
 file your CE Form 1,
 Statement of Financial
 Interests, for the year ending
 December 31, 2021, and our
 records show you still have
 not filed your form. The
 grace period for filing this
 form will expire on
 September 1, 2022 at 5
 p.m., and a fine of \$25 per
 day (up to a maximum fine
 of \$1,500) will be assessed
 against you. Failure to file
 may also result in removal
 from public office or
 employment. Please file your
 form immediately. You may
 do so by mail (make sure it is
 postmarked on or before
 September 1st), or you may
 email your form to
 CEForm1@leg.state.fl.us
 Thank you very much.

09/7/2022 Filer Certified returned attempted Emily Prine
 Communication: unknown not date stamped
 Other in

09/13/2022 Letter Sent Courtesy Notice of Fines Print Queue:
 Accruing 9/13/2022
 Printing Confirmed:
 9/13/2022

Letter Sent To:
 Nicholas Alexander Rivero
 600 S ANDREWS AVE
 STE 600
 FT LAUDERDALE, FL 33301 -2835

12/8/2022 Form Received Form 1 Received, Signed Form 1 Received by Emily Prine at COE

Form Received By: Emily Prine
Filing Location: COE
Record Created By: Emily Prine on 12/08/2022

12/8/2022 Filer From: Prine,Emily Sent: Emily Prine
Communication: Thursday, December 08,
Email 2022 11:29 AM To:
'nrivero@safepointins.com'
Subject: Criminal Conflict &
Civil Regional Counsel
Importance: High Mr.
Nicholas Rivero, Did you
serve as Assistant Regional
Counsel for the fourth
District?

12/8/2022 Filer From: Prine,Emily Sent: Emily Prine
Communication: Thursday, December 08,
Email 2022 11:57 AM To: 'Nicholas
Rivero' Subject: RE: Criminal
Conflict & Civil Regional
Counsel Importance: High
Thank you for responding so
quickly. You were required
to have filed Form 1 2021 by
7/1/22. Failure to file has
resulted in \$1500.00 fine
being imposed. I have
attached all required
documents. Please complete
and return to me as soon as
possible. Thank you!
Sincerely, Emily E. Prine
Program Specialist/Financial
Disclosure 850-488-7864
Florida Commission on Ethics
Post Office Drawer 15709
Tallahassee, FL 32317-5709
From: Nicholas Rivero Sent:
Thursday, December 08,


2022 11:37 AM To:
 Prine.Emily Subject: Re:
 Criminal Conflict & Civil
 Regional Counsel Good
 morning Ms. Prine, Yes I did.
 Best, Nicholas A. Rivero, Esq.

12/8/2022 Filer Filer phoned had no Emily Prine
 Communication: idea/had not receive
 Phone notices. Left position March
 2022.

12/8/2022 Filer From: Nicholas Rivero Sent: Emily Prine
 Communication: Thursday, December 08,
 Email 2022 1:18 PM To: Prine.Emily
 Subject: Re: Criminal
 Conflict & Civil Regional
 Counsel Attached are the
 filled out forms, please let
 me know if there is anything
 else needed of me. Thank
 you again for bringing this to
 my attention. Have a great
 day! best, Nicholas A.
 Rivero, Esq. Staff Counsel
 Safepoint Insurance | PO Box
 291579 Tampa, FL 33687
 Direct: (813) 296-8453
 nrivero@safepointins.com
 www.safepointins.com

12/8/2022 Filer From: Prine.Emily Sent: Emily Prine
 Communication: Thursday, December 08,
 Email 2022 1:25 PM To: 'Nicholas
 Rivero' Subject: RE: Criminal
 Conflict & Civil Regional
 Counsel Thank you! Both
 forms processed and posted.
 As discussed the appeal
 process takes a significant
 amount of time for a
 determination to be
 rendered. If at any time your

contact information changes,
 please let me know! Thank
 you. Sincerely, Emily E. Prine
 Program Specialist/Financial
 Disclosure 850-488-7864

11/2/2023	Fine Levied	Fined \$1500.00	Journal: 11/2/2023 5:39 PM
11/2/2023	Notice of Assessed Fine	Initial Fine Notice	Journal: 11/2/2023 5:39 PM
11/2/2023	Fine Appeal	FD 22-012	Journal: 11/2/2023 5:40 PM
 11/7/2023	Letter Sent	Fine Appeal	Print Queue: 11/7/2023 Printing Confirmed: 11/7/2023

Letter Sent To:
 Nicholas Alexander Rivero
 14415 Mahogany Court
 Miami Lakes, FL 33014

2022 Fine Appeal – FD 22-012	<input type="button" value="Update Appeal"/> <input type="button" value="Withdraw Appeal"/>
	<input type="button" value="Assign Attorney"/> <input type="button" value="Request More Info"/>
	<input type="button" value="Record Appeal Outcome"/>
Appeal Status: Active Appeal Receipt Date: 12/08/2022 Timely Filed: Yes Print Appeal Letter: Yes Hearing Requested: No Appeal Reason: Lack of Notification Appeal Notes: Appeal Number:	No Hearing Requested

	FD 22-012 Appeal Analyst Assigned: Final Order Number: Final Order Date:	
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